



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(f)

SECTOR 14  
PATENT  
APPLICATION

In re PATENT APPLICATION of  
**ARMSTRONG et al.**

Appn. No.: 09/753,335

Filed: December 29, 2000

Title: **"Method and System for Personalizing an Interactive Interface"**

Attn: Application Division

Atty. Dkt.	061473	0269987
Client #		Matter #
(Our Deposit Account No. 03-3975)		
(Our Order No. 0269987)		NOR-13566
TMC#		Client Ref

Date: March 22, 2001

Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, D.C. 20231

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. Notice to File Missing Parts  copy enclosed  not yet received
2.  Signed Declaration enclosed  Original  facsimile/copy
3.  Enclosed is an Assignment and cover sheet. Please return the recorded assignment to the undersigned.
4.  Three (3) sheets of substitute drawings (Figs. 1-3)

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

5. Basic Filing Fee .....	\$710/ 355	\$ 710.00	101/201
6. Total Claims 21 minus 20 = 1	x \$18/\$09	+ 18.00	103/203
7. Independent Claims 5 minus 3 = 2	x \$80/\$40	+ 160.00	102/202
8. Surcharge for filing Declaration/filing fee late	\$130/\$65	+ 130.00	105/205
9. <b>FILING FEE ENCLOSED</b>	= \$ 1018.00		
10. Original due date:			
11. If "assignment" box is X'd, add recording fee .....	\$40	+ 40.00	581
12. <b>TOTAL FEE ENCLOSED:</b>	=	<b>1,058.00</b>	

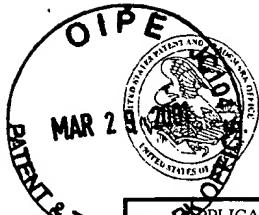
**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed. This charge statement does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

1100 New York Avenue, N.W.  
Ninth Floor, East Tower  
Washington, D.C. 20005-3918  
Tel: (650) 233-4777  
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**PILLSBURY MADISON & SUTRO, LLP**  
By: Mark J. Danielson, Reg. No. 40,580

I certify that the enclosed papers are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on , March 22, 2000.

  
Jeanette Walker-Cooks



## UNITED STATES PATENT AND TRADEMARK OFFICE

A3  
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/753,335	12/29/2000	Steven M. Armstrong	61473/0269987

### CONFIRMATION NO. 8408

### FORMALITIES LETTER



\*OC00000005757716\*

PILLSBURY MADISON & SUTRO LLP  
Ninth Floor, East Tower  
1100 New York Avenue, N.W.  
Washington, DC 20005-3918

Date Mailed: 02/12/2001

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/30/2001 TBESHAI1 00000021 09753335

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 QP
02 FC:103	18.00 QP
03 FC:102	160.00 QP
04 FC:105	130.00 QP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$178.
  - \$18 for 1 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
  
- **The balance due by applicant is \$ 1018.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at

least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

**Initial Patent Examination Division (703) 308-1202**

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**